

Private Placement Activity

10/2/2017 – 10/6/2017 (Transactions in excess of \$15 million)

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Trends & Commentary

- Blockchain technology, a distributed and decentralized database shared among participants, allows users to collaborate and share access for items such as cryptocurrencies, supply chain management and financial exchange. This technology has been garnering traction in terms of its applications in contract management, global trade, digital currency and other well-developed industries due to its ability to track the chronology of records and assure that records cannot be modified after being created. Still, blockchains have yet to achieve widespread adoption due various barriers in place, such as regulatory bodies and cybersecurity.
- The volume of deals in Fintech is expected to keep pace with its strong 2016 performance based on its 2017 second and third quarter results. Investors have invested \$2.55 billion to date in 2017 into U.S.-based Fintech companies, nearly matching 2016's full year investment amount.
- Danny Meyer, the founder of Shake Shack, has launched a new private equity fund, Enlightened Hospitality Investments LP, which has raised \$220 million to invest in companies that share his employee-centric focus, including those in the restaurant industry.
- NFX, a venture fund that primarily invests in Seed and Series A funding in Palo Alto and San Francisco, is seeking to raise \$150 million for its debut venture fund that invests in next-generation tech companies. NFX's managing partners have personally founded or been CEO of more than 10 VC-backed technology startups, including co-founding Trulia.
- Following its acquisition of Whole Foods, Amazon could be moving into the meal-kit delivery service industry after securing a new brand name in Germany. This would put Amazon in direct competition with HelloFresh in the €435 million meal-kit industry.
- To combat Amazon's rapid expansion, Walmart has agreed to purchase Parcel, a same-day delivery company. This follows Walmart's earlier deal to acquire Bonobos, an online men's fashion retailer and its partnership with Uber to deliver groceries.

Highlights

- **Wheels Up**, a provider of membership-based aviation services, raised \$118 million at a \$583 million pre-money valuation – Fidelity Management & Research led the round.
- **Infinidat**, a developer of data storage management technology, raised \$95 million in Series C funding at a \$1.5 billion pre-money valuation – Goldman Sachs Private Capital Investing led the round.
- **The Honest Company**, a provider of an online platform for baby and lifestyle products, raised \$75 million in Series E funding at a \$785 million pre-money valuation, resulting in a down round from its previous \$1.6 billion pre-money valuation in 2015 – Fidelity Investments led the round.
- **Docker**, a developer of an open source database platform, raised \$62 million at a \$1.2 billion pre-money valuation – investors include Greylock Partners and Sequoia Capital.
- **Huuuge Games**, a developer of free-to-play mobile casino games, raised \$49 million in Series C funding at a \$194 million pre-money valuation – investors include Korea Investment Partners.
- **eShares**, a developer of a cap table management platform, raised \$39 million – investors include Draper Associates and Spark Capital.
- **Roofstock**, an operator of an online marketplace designed to buy and sell rental properties, raised \$35 million in Series C funding at a \$245 million pre-money valuation – Canvas Ventures led the round.
- **Bluecore**, a developer of a customer analysis platform for commerce organizations, raised \$35 million in Series C funding at a \$113 million pre-money valuation – Norwest Venture Partners led the round.
- **TripActions**, a developer of an online corporate and business travel management platform, raised \$27 million in Series A funding – investors include Lightspeed Venture Partners.
- **Stellar Labs**, a provider of a real-time sourcing platform and digital marketplace for the aviation sector, raised \$26 million in Series A funding – Columbia Equity Partners led the round.
- **AtScale**, a provider of a business intelligence platform, raised \$25 million in Series C funding - Atlantic Bridge Capital led the round.

Source: PitchBook Data, Inc., NYSE, S&P Global Market Intelligence

Note: Bolded names in "Investors" column indicate existing investors participating in new deal

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Company Name	Date	Company Location	Investors	Amount Raised (\$M)	Series	Company Description / Comments
Information Technology						
Truphone	3-Oct-17	London, United Kingdom	Burda Digital Ventures, Eden Ventures, Minden , Straub Ventures, Vollin Holdings, Wellington Partners	\$331	N/A	Provider of global mobile operating service intended to provide mobile connectivity. The company's global mobile operating service includes patented SIM-technology which enables the creation and distribution of SIM profiles over the air, enabling customers a secure, regulated mobile recording service which is used by the majority of Tier 1 investment banks.
Sanken North America	3-Oct-17	Worcester, MA	One Equity Partners, Sanken Electric	\$291	N/A	Designer and manufacturer of analog power semiconductor products. The company's wide range of power management integrated circuits, motor control integrated circuits, light-emitting diode (LED) circuits as well as high quality automotive semiconductor devices and discrete components, enable the customers to utilize electricity efficiently and in a cost effective way. One Equity Partners led the deal.
Infinidat	3-Oct-17	Waltham, MA	Goldman Sachs Private Capital Investing Group, Security Growth Partners, TPG Growth	\$95	Series C	Developer of data storage management technology designed to support data intensive enterprises. The company's InfiniBox is a flash-optimized storage platform that can be easily leveraged for addressing the management and analytical needs of Big Data, enabling access to multiple databases and sources, managing growing volumes of data and managing virtualized data center and cloud storage, enabling enterprises to manage storage efficiently. Goldman Sachs Private Capital Investing Group led the round. \$1.5 billion pre-money valuation.
Docker	6-Oct-17	San Francisco, CA	Benchmark Capital, Greylock Partners, Lightspeed Venture Partners, Lowercase Capital, Sequoia Capital, SV Angel, The Goldman Sachs Group, Trinity Ventures, Y Combinator	\$62	N/A	Developer of an open source database platform designed to build, ship and run distributed applications. The company's open source database platform offers development languages and tools for programmers that handles code building, scaling, deployment and load balancing, enabling developers and system administrators to develop application and run as a collection of consistent, low-overhead Docker containers that work across virtually any infrastructure. \$1.2 billion pre-money valuation.
MessageBird	3-Oct-17	Amsterdam, Netherlands	Accel, Atomico, Y Combinator	\$60	Series A	Developer of communication APIs designed to connect companies with their customers on billions of devices around the world. The company's communication APIs specialize in developing a global messaging application programming interface for sending bulk SMS, two way SMS, voice and chat messages, enabling clients to revolutionize company-customer interactions and making contact easier, more efficient and accessible. Accel led the round.
eShares	5-Oct-17	Palo Alto, CA	Draper Associates, Draper Fisher Expansion Venture Capital, K9 Ventures, Kima Ventures, Oakhouse Partners, Spark Capital, SV Angel, Union Square Ventures, XG Ventures	\$39	N/A	Developer of a cap table management platform designed to consolidate private company ownership onto one common electronic registry and bring a wealth of financial services to all privately held companies. The company's platform helps companies, from seed stage to pre-IPO, to manage equity electronically with the participation of their shareholders, employees, auditors and legal counsel, enabling companies and the law firms that serve them to issue electronic securities and maintain accurate cap tables.

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PremFina	3-Oct-17	London, United Kingdom	Draper Esprit, Emery Capital, Microsoft Accelerator, Rakuten Capital, Rubicon Venture Capital, Talis Capital	\$36	N/A	Provider of a cloud-based financial software intended to empower insurance brokers. The company's cloud-based financial software allows brokers to issue their own financing agreement and maintain relationship with their customers, enabling them to maximize control over their customer relationships. Draper Esprit, Rakuten Capital led the round.
Roofstock	4-Oct-17	Oakland, CA	Bain Capital Ventures , Canvas Ventures, FJ Labs, Grey Wolf VC, Khosla Ventures , Lightspeed Venture Partners , Nyca Partners , QED Investors , SV Angel, ZenStone Venture Capital	\$35	Series C	Operator of an online marketplace designed to buy & sell tenant-occupied rental property. The company's online marketplace provides detailed home and tenant information, local vetted property management options, as well as analytics and general market insights, enabling investors to evaluate and purchase certified properties. Canvas Ventures led the round. \$245 million pre-money valuation.
Bluecore	4-Oct-17	New York, NY	Felicis Ventures , FirstMark Capital , Georgian Partners , Norwest Venture Partners, Right Side Capital Management	\$35	Series C	Developer of a decision making platform designed to empower commerce organizations to discover their best customers and keep them for life. The company's decision making platform powers unique interactions by unifying customer and catalog data, enabling marketing organizations to take meaningful, immediate action on data through the creation of highly targeted audiences for multi-channel use. Norwest Venture Partners led the round. \$113 million pre-money valuation.
FogHorn	4-Oct-17	Mountain View, CA	Darling Ventures , Dell Technologies Capital , GE Ventures , March Capital Partners , Robert Bosch Venture Capital , Saudi Aramco Energy Ventures , The Hive , Yokogawa Electric	\$30	Series B	Developer of an edge intelligence software designed to deliver the power of real time industrial grade analytics to resource constrained edge devices. The company's Lightning software platform brings the power of advanced analytics and machine learning to the on-premises edge environment. Intel Capital, Saudi Aramco Energy Ventures led the round.
TripActions	4-Oct-17	Palo Alto, CA	Lightspeed Venture Partners , SGVC, Zeev Ventures	\$27	Series A	Developer of an online corporate and business travel management platform designed to help business travel managers and travelers in booking hotels and flights at a discounted price. The company's online corporate and business travel management platform offers a dashboard which shows information related to travel management reports, savings, itinerary details and conducts reward programs.
Stellar Labs	5-Oct-17	Redwood City, CA	Aslanoba Capital, Camp One Ventures, Columbia Equity Partners, Dolby Family Ventures, Sherpalo Ventures, Western Technology Investment	\$26	Series A	Provider of a real-time sourcing platform and digital marketplace designed for private and business aviation needs. The company's real-time sourcing platform offers revenue management, predictive pricing, vehicle route optimization, high-value electronic payments, partner collaboration, and an automated system that can generate hundreds of flight options. Columbia Equity Partners led the round.
AtScale	3-Oct-17	San Mateo, CA	AME Cloud Ventures, Atlantic Bridge Capital, Comcast Ventures , Storm Ventures , UMC Capital , XSeed Capital	\$25	Series C	Provider of a business intelligence platform designed to make business analytics work on big data. The company's business intelligence platform uses machine learning that helps to query data in-place where it lands without additional data movement, scale out on big data and OLAP on Hadoop with Virtual Cubes, create models with measures and dimensions. Atlantic Bridge Capital led the round.

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Featurespace	4-Oct-17	Cambridge, United Kingdom	Cambridge Angels, Cambridge Capital Group, Invoke Capital, Nesta, Touchstone Innovations, TTV Capital, WorldPay	\$21	N/A	Developer of adaptive behavioral analytics technology designed to bring new insights through new ways of treating data. The company's adaptive behavioral analytics engine, the ARIC platform, monitors all customer data in real-time, spotting anomalies to block new fraud attacks as they occur and recognizes genuine customers without blocking their activity. Highland Capital Partners Europe led the round.
Envoy Global	3-Oct-17	Chicago, IL	Catalyst Investors, Garage Technology Ventures, General Catalyst Partners, Haystack	\$21	Series C	Provider of global immigration and workforce management technology and services designed to make it easier for employers and workers to pursue opportunity across the global marketplace. The company's platform combines expert legal representation, for both inbound and outbound immigration, and proprietary technology. Catalyst Investors led the round. \$52 million pre-money valuation.
QuanticMind	5-Oct-17	Redwood City, CA	Cervin Ventures, Foundation Capital, MITS Fund, Safeguard Scientifics, TIE Silicon Valley	\$20	Series B	Provider of predictive advertising management software designed to offer intelligent marketing services. The company's predictive advertising management software helps users to anticipate and execute granular investments across their paid search and social marketing channels, by leveraging machine learning, distributed cloud computing and in-memory processing, enabling marketers maximize digital performance through marketing channels, including paid search.
Frame.io	3-Oct-17	New York, NY	Accel, FirstMark Capital, FoundersGuild, Shasta Ventures, SignalFire	\$20	Series B	Developer of a video review and collaboration platform designed to streamline video creation process. The company's platform makes the process of sharing and collaborating on video projects simple, through an intuitive user interface where users can upload and organize projects, then share internally or with clients to review and add feedback. FirstMark Capital led the round. \$83 million pre-money valuation.
Seasoned	4-Oct-17	San Francisco, CA	TPG Growth	\$20	Series A	Operator of a professional networking platform designed to connect workers with jobs, talent and career development opportunities. The company's professional networking platform aims to connect workers in the field of cooks and dishwashers to servers and hosts, gig workers to lifers, enabling them to search for jobs by title and location, learn new tricks through video courses and articles and connect with people in the industry. TPG Growth led the round.
UrbanSitter	6-Oct-17	San Francisco, CA	Aspect Venture Partners, Canaan Partners, DBL Partners, First Round Capital, Menlo Ventures, Primal Ventures, Rustic Canyon Partners	\$17	Series C	Provider of an online platform to book babysitters. The company offers a platform that enables babysitters to post their credentials and availability and parents to search and book sitters online. Advance Venture Partners led the round.

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<u>Consumer Products and Services (B2C)</u>						
Secret Escapes	5-Oct-17	London, United Kingdom	Accomplice VC, Angel Lab, GV, Idinvest Partners , Index Ventures (UK), Octopus Ventures, Temasek Holdings, Venista Ventures	\$108	Series D	Provider of members-only travel club application designed to publish flash sales on hotels, cruises and tours. The company's travel club application is a web and mobile based service publishing access to flash sales on four and five-star hotels and getaways, both online and offline, enabling travelers to book their holidays for days, weeks or months in advance. Temasek Holdings led the round.
The Honest Company	6-Oct-17	Los Angeles, CA	Brookside Equity Partners, Dragoneer Investment Group, Fidelity Investments , General Catalyst Partners, ICONIQ Capital, IVP, Lightspeed Venture Partners, Pritzker Group Venture Capital	\$75	Series E	Provider of an online platform intended to offer baby and lifestyle products. The company's online platform offers a monthly subscription service for customized product bundles that include its branded lines of diapers, wipes, baby bath, skin-care and home-cleaning products through its website, enabling customers to buy the products of their own choice. Fidelity Investments led the round. \$785 million pre-money valuation.
Zume Pizza	6-Oct-17	Mountain View, CA	AME Cloud Ventures, Kortschak Investments, Maveron, SignalFire	\$71	Series A	Provider of pizza services with help of robots. The company is engaged in production and door to door delivery of artisan pizzas for which it uses robots and machine intelligence.
Huuuge Games	3-Oct-17	Palo Alto, CA	Korea Investment Partners, Seoul Investment Partners, Woori Technology Investment Company	\$49	Series C	Developer of free-to-play mobile casino games designed to provide truly social and exciting social gaming experiences for broad global audiences. The company's virtual casino games offers a social gaming experience where players are able to interact, engage and play with other players in real-time in an attractive free-to-play casino environment. \$194 million pre-money valuation.
Forensic Logic	2-Oct-17	Walnut Creek, CA	Mainsail Partners, Morningside Group	\$20	N/A	Provider of law enforcement and criminal offender data to local, state and federal government workers and private sector organizations. The company's national-scale search engine and information network developed in partnership between the technology community and local, state and federal law enforcement. Mainsail Partners led the deal.
Stack Labs	2-Oct-17	Menlo Park, CA	Bipin Sahni, Plug and Play Tech Center	\$16	N/A	Manufacturer of light bulbs with sensors created to help home owners save electricity. The company's light bulbs offers real-time motion alert lighting with intuitive controls through smart devices and it mimic home owners' occupancy by turning off and on and will let them know if someone enters their homes, enabling home owners to adjust color temperature to time of day and sleep soundly.
Gobble	5-Oct-17	Palo Alto, CA	Collaborative Fund, Felicis Ventures, Fenox Venture Capital, Founder Collective, Greylock Partners, Initialized Capital Management , Khosla Ventures, SV Angel, Thrive Capital, Trinity Ventures , Y Combinator	\$15	Series B	Operator of an online marketplace intended to offer home cooked meals. The company's online marketplace offers farm fresh gourmet dinner kits with three step instructions and free home delivery, enabling consumers to cook and make a gourmet meal with one pan in under ten minutes. Khosla Ventures led the round.

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<u>Business Products and Services (B2B)</u>						
Wheels Up	6-Oct-17	New York, NY	Corigin Ventures, Fidelity Management & Research , Krillion Ventures, New Enterprise Associates , Rosecliff Ventures, Structure Capital, T. Rowe Price	\$118	N/A	Provider of a membership-based aviation services intended to offer private aviation facility. The company provides private aviation facility. Fidelity Management & Research led the round. \$583 million pre-money valuation.
Nanotronics Imaging	3-Oct-17	New York, NY	Founders Fund , Investment Corporation of Dubai	\$30	Series D	Developer of cutting-edge microscopy and machine learning software designed to deliver inspection and analysis services. The company's microscope integrates into manufacturing supply chains for everything from MEMs and semiconductors to aerospace hardware, nano-medicine and DNA sequencing. Investment Corporation of Dubai led the round. \$323 million pre-money valuation.
Achates Power	6-Oct-17	San Diego, CA	InterWest Partners, Leader Ventures, Madrone Capital Partners, RockPort Capital, Sequoia Capital, Triangle Peak Partners, TriplePoint Capital	\$30	N/A	Developer of internal combustion engines designed to increase fuel efficiency and reduce greenhouse gas emissions. The company's internal combustion engines are Opposed-Piston engines that are low in cost and uses the existing fuel infrastructure, enabling global original equipment manufacturers to achieve the world's most stringent current and future fuel efficiency and emissions standards.
Ashmin	5-Oct-17	Conroe, TX	EV Private Equity	\$15	N/A	Provider of down-hole drilling and workover tools intended to serve oil and gas industry. The company provides down-hole drilling and workover tools delivered from concept and prototype through to application, it helps in drilling process by its projects include multi-year R&D programs, design and sustaining engineering, licensing and patenting, manufacturing, testing, product verification, tool sales and repair and maintenance. EV Private Equity led the deal.
Energage	4-Oct-17	Exton, PA	Bancroft Woods, Bridge Bank, Merion Investment Partners, NewSpring Capital, Rittenhouse Ventures	\$15	N/A	Operator of an HR Technology company intended to provide employee survey services for workplace quality. The company is certified by the nonprofit B Lab to meet rigorous standards of social and environmental performance, accountability, and transparency and offers engagement platform helps organizations to unlock the potential of their people. NewSpring Capital led the deal.
<u>Financial Services</u>						
Salary Finance	4-Oct-17	London, United Kingdom	Blenheim Chalcot , Brightbridge Ventures, Legal & General Group	\$52	N/A	Provider of employee benefit services intended to help people become debt-free and save towards their financial goals. The company's benefit services provides employers with a cost- and risk-free employee benefit to enable their staff to pay-off their debt faster. Legal & General Group led the round.
CoverWallet	5-Oct-17	New York, NY	Founder Collective , Highland Capital Partners , Index Ventures (UK), Starr Companies , Two Sigma Ventures , Union Square Ventures	\$19	Series A	Provider of an online enterprise insurance management platform intended to speed up the insurance-buying process with data analytics. The company's online enterprise insurance management platform helps clients to manage commercial insurance policies. Union Square Ventures led the round.

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Healthcare						
Harmony Biosciences	5-Oct-17	Plymouth Meeting, PA	Fidelity Management & Research, HBM Healthcare Investments, Nan Fung Capital, Novo, Paragon Biosciences, Valor Equity Partners, venBio, Vivo Capital	\$270	N/A	Developer of biopharmaceuticals designed to identify, develop and commercialize novel treatment options for patients with rare diseases. The company's biopharmaceuticals focus on new therapeutic options for patients who do not yet have effective healing of their disorders, enabling patients to recover quickly from central nervous system disorders.
Cullinan Oncology	3-Oct-17	Boston, MA	F2 Ventures, MPM Capital	\$150	Series A	Developer of externally sourced cancer therapeutics intended to end a drug program quickly if the early research suggests it won't work. The company's externally sourced cancer therapeutics are developed via assets sourced internally through dry lab or externally through business, academic and pharma collaborations.
KSQ Therapeutics	2-Oct-17	Cambridge, MA	Alexandria Equities, ARCH Venture Partners, Flagship Pioneering, Polaris Partners	\$76	N/A	Developer of transformative medicines created to systematically pinpoint the optimal nodal targets of disease with extraordinary precision. The company's CRISPRomics is an industrialized functional genomics engine that utilizes a suite of proprietary CRISPR/Cas9 tools that identifies novel pathways and targets involved in oncology and immuno-oncology.
Recursion Pharmaceuticals	3-Oct-17	Salt Lake City, UT	Advantage Capital (St. Louis), AME Cloud Ventures, Amplify Partners, Charles River Ventures, Data Collective, EPIC Ventures, Felicis Ventures, Lux Capital, Menlo Ventures, Obvious Ventures	\$60	Series B	Provider of a drug discovery platform designed to interrogate complex biological interactions for drug re-purposing. The company's drug discovery platform uses biological tools to build cellular disease models, enabling biologists to query and understand the results of phenotypic screens, drug screens and target discovery screens with immediate access to raw images and underlying data. Data Collective led the round.
Immatics	4-Oct-17	Tübingen, Germany	AT Impf, DH Capital, dievini Hopp BioTech, EMBL Ventures, Merifin Capital, MIG Fonds, National Technology Enterprises Company, Swisscom Ventures, Wellington Partners	\$58	Series E	Developer of immunotherapies designed to treat cancer. The company's immunotherapies use the body's own immune system to fight cancer, enabling cancer patients to improve the length and quality of their lives.
Palleon Pharmaceuticals	4-Oct-17	Waltham, MA	AbbVie Ventures, Pfizer, SR One, Takeda Ventures, Vertex Ventures HC	\$48	Series A	Developer of a biotechnology platform designed to offer glycoimmune checkpoint inhibitors to treat cancer. The company's biotechnology platform integrates technologies and insights from scientific leaders all over the world in the fields of glycoscience and human immunology to create a novel approach to treating cancer by targeting multiple immune cell types.
Visterra	5-Oct-17	Cambridge, MA	CTI Life Sciences Fund, Cycad Group, Flagship Pioneering, Lux Capital, Merck Capital Ventures, MRL Ventures, Omega Fund Management, Polaris Partners, Temasek Holdings, Vertex Ventures HC	\$47	Series C	Operator of a clinical-stage biopharmaceutical company designed to treat infectious diseases caused by organisms. The company's novel platform designs and engineers antibody-based biological products for the treatment of non-infectious diseases that are not being adequately addressed, enabling the patients to seek the significant unmet need for effective therapies. \$142 million pre-money valuation.
CathWorks	2-Oct-17	Kfar-Saba, Israel	BioStar Ventures, Corundum Open Innovation, Planven Investments, Pontifax Venture Capital, Pura Vida Investments, Quark Venture, TriVentures	\$16	Series B	Developer and provider of digital imaging based medical devices intended to utilize cath lab image information. The company's FFRangio provides a functional flow reserve (FFR) for processing visual information and automatic multi-layered algorithms to improve the utilization of coronary angiography data to ratify measurement-based medicine, providing healthcare institutes to optimize cath lab throughput. Quark Venture, TriVentures led the round.

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Fund Raises

10/2/2017 – 10/6/2017 (Funds in excess of \$100 million in the U.S., Canada and Europe)

Sponsor Name	Fund Name	Fund Location	Close Date	Fund Type	Sector Coverage	Fund Size (\$M)	Comments
<u>Closed Funds</u>							
The Rise Fund, TPG Growth	The Rise Fund	WA	4-Oct-17	Venture Capital	Consumer Durables, Consumer Non-Durables, Software	\$2,000	
Trive Capital	Trive Capital Fund III	Dallas, TX	3-Oct-17	Buyout	Education, Energy, Healthcare	\$1,000	Focuses on social and environmental impact investing
Oakley Capital Private Equity	Oakley Capital Private Equity III	London, United Kingdom	3-Oct-17	Buyout	Consumer Products and Services (B2C), Information Technology, IT Services, Media, Software	\$945	
Frontenac Company	Frontenac XI Private Capital	Chicago, IL	3-Oct-17	Buyout	B2B, B2C, financial services, healthcare services	\$325	Focuses on middle market investments
Union Square Hospitality Group	Enlightened Hospitality Investments	New York, NY	2-Oct-17	PE Growth-Expansion	Information Technology, Restaurants, Hotels and Leisure	\$220	
Arx Equity Partners	Arx CEE IV	Prague, Czech Republic	6-Oct-17	Buyout	Consumer Non-Durables	\$118	

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Initial Public Offerings

10/2/2017 – 10/6/2017 (U.S. Based Exchanges)

Company Name	Description	Company Sector	Lead Underwriters	Offer Date	File Date	Offering Size	Offer Price Per Share	Latest Share Price	Premium / Discount from IPO	Latest Market Capitalization
<u>Priced IPOs</u>										
Rhythm Pharmaceuticals, Inc.	Provides peptide therapeutics for rare genetic deficiencies	Pharmaceuticals	Merrill Lynch, Morgan Stanley	10/4/2017	9/5/2017	\$120	\$17	\$25	48.5%	\$658
<u>Filed IPOs</u>										
Regalwood Global Energy LTD.	Operates as a blank check company in the energy and natural resources sector	Blank Check	-	-	10/2/2017	\$345				
ABLYNX NV	Offers treatments for therapeutic indications using nanobodies.	Biotechnology	-	-	10/2/2017	\$150				
Metropolitan Bank Holding Corp.	Provides business, commercial and retail banking services	Banking	-	-	10/4/2017	\$100				
EWT Holdings I Corp.	Provides water treatment solutions	Water Treatment	-	-	10/3/2017	\$100				
Forescout Technologies, Inc.	Provides software that protects users' IP-based devices	Cybersecurity	-	-	10/2/2017	\$100				

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